



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/939,964	08/27/2001	Andre Rosenthal	CARP-0094

CONFIRMATION NO. 6800

## FORMALITIES LETTER



\*OC00000007334889\*

Woodcock Washburn Kurtz  
Mackiewicz & Norris LLP  
46th Floor  
One Liberty Place  
Philadelphia, PA 19103

Date Mailed: 01/18/2002

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawings contain excessive text. Suitable descriptive legends may be used, or may be required by the Examiner where necessary for understanding of the drawing but should contain as few words as possible ( see 37 CFR 1.84(o));

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

DOCKET NO.: CARP-0094

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Rosenthal et al.

Serial No.: 09/939,964

Group No.: 1652

Filing Date: August 27, 2001

Examiner: Not Yet Assigned

For: GENOMIC SEQUENCE OF NGR 234 SYMBIOTIC PLASMID, ITS GENE MAP, AND ITS USE IN DIAGNOSTICS AND GENE TRANSFER IN AGRICULTURE

EXPRESS MAIL LABEL NO: EL827 241 903US  
DATE OF DEPOSIT: April 17, 2002

BOX SEQUENCE

U.S. Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

RESPONSE TO NOTICE TO CORRECT APPLICATION PAPERS  
(Filing Date Granted)

In response to the "Notice to Correct Application Papers--Filing Date Granted" dated January 18, 2002, a response to which is due March 18, 2002, enclosed herewith for filing is:

- ☒ A copy of said Notice to Correct Application Papers--Filing Date Granted.
- ☐ A substitute specification in compliance with 37 C.F.R. 1.52.
- ☒ Six sheets (Figures 1 through 6) of substitute drawings in compliance with 37 C.F.R. 1.84.
- ☐ Applicant(s) has previously claimed small entity status under 37 CFR §1.27.
- ☒ Statement to Support Filing and Submission in Accordance with 37 CFR §§1.821 through 1.825.
- ☒ Sequence Listing (pages 1-273).
- ☒ Computer readable form of Sequence Listing.

- ☒ Preliminary Amendment.
- ☒ Request is hereby made under 37 CFR 1.136(a) to extend the time for response to the Notice to Correct Application Papers of **January 18, 2002** to and through **April 18, 2002**, comprising an extension of the shortened period of one month:

	SMALL ENTITY		NOT SMALL ENTITY	
	RATE	FEE	RATE	FEE
<input checked="" type="checkbox"/> ONE MONTH EXTENSION OF TIME	\$55	\$	\$110	<b>\$110.00</b>
<input type="checkbox"/> TWO MONTH EXTENSION OF TIME	\$200	\$	\$400	\$
<input type="checkbox"/> THREE MONTH EXTENSION OF TIME	\$460	\$	\$920	\$
<input type="checkbox"/> FOUR MONTH EXTENSION OF TIME	\$720	\$	\$1440	\$
<input type="checkbox"/> FIVE MONTH EXTENSION OF TIME	\$980	\$	\$1960	\$
TOTAL FEE DUE				<b>\$110.00</b>

Applicant(s) has/have not been notified that the requested extension will not be permitted. The present application is not involved in an interference declared pursuant to 37 CFR 1.207.

Total fee required **\$110.00**


- ☒ A check in the amount of **\$110.00** is attached to cover the foregoing amount. Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.
- ☐ Please charge the foregoing amount to my Deposit Account No. 23-3050 in the amount of \$@@.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-3050. This sheet is attached in duplicate.
- ☒ Any additional filing fees required under 37 CFR 1.16 including fees for presentation of extra claims.
- ☒ Any additional patent application processing fees under 37 CFR 1.17 and under 37 CFR 1.20(d).
- ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3050.

This sheet is attached in duplicate.

- ☒ Any patent application processing fees under 37 CFR 1.17 and under 37 CFR 1.20(d).
- ☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
- ☒ Any filing fees under 37 CFR 1.16 including fees for presentation of extra claims.

This sheet is attached in duplicate.

Date: April 17, 2002

  
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